

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
7500 Security Boulevard  
Baltimore, Maryland 21244-1850



## **OFFICE OF INFORMATION SERVICES**

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**DATE:** February 20, 2009

**TO:** All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and  
Demonstration Organizations Systems Staff

**FROM:** Alan Constantian /s/  
Director, Information Services Design and Development Group

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Director, Medicare Plan Payment Group

**SUBJECT: Week-at-a-Glance February 22<sup>nd</sup> to March 1<sup>st</sup>**

The Week-at-a-Glance memo describes the cleanups that CMS is preparing to process along with regularly scheduled replies to MAO/PDPs submitted Transaction Files over the next two weeks. The focus of each cleanup is described below.

MAO/PDPs should also be aware that all information is approximate and subject to change. The MMA Help Desk will communicate any changes to the schedule that occur after this memo is published.

This document will cover:

- Scheduled Weekly MARx Data Cleanups
  - Maximum Number of Uncovered Months Incorrectly Calculated – TRC 216
  - Incorrect Number of Uncovered Months with TRC 060
  - Failed Enrollment Transactions with TRC 165
  - Invalid Disenrollment Reason Codes

## **Scheduled Weekly MARx Data Cleanups**

**Sunday, February 22, 2009**

Transactions reprocessed as a result of the following cleanups will appear on the February 22<sup>nd</sup> regular weekly TRR. The file will follow the standard naming convention:

**Gentran mailbox:** \_P.Rxxxxx.TRWEEKR.D090222.Thhmsst.pn

**Connect:Direct (Mainframe):** zzzzzzzz.Rxxxxx.TRWEEKR.D090222.Thhmsst

**Connect:Direct (Non-Mainframe):** [directory]Rxxxxx.TRWEEKR.D090222.Thhmsst

### **Maximum Number of Uncovered Months Incorrectly Calculated**

On March 1, 2008, CMS implemented a fix to address the issue Plans encountered when submitting Plan change transactions (type 72) for Uncovered Months. TRC 216 – “Number of Uncovered Months exceeds the maximum possible value” was incorrectly transmitted as a result of Plans attempting to correct the cumulative record for a beneficiary whose Initial Enrollment Period (IEP) ended on May 15, 2006.

Since then, CMS has encouraged Plans to resubmit any affected Plan Change (type 72) transactions with a date prior to March 1, 2008. These resubmitted transactions were processed and Plans should have received appropriate responses on their weekly TRRs.

In order to close the loop with the Plans, CMS will reprocess the remaining transactions that have not been resubmitted. Plans can expect to receive one or more of the following:

- TRC 060 – Correction or Change Rejected, Not Enrolled
- TRC 141 – Uncovered Months Change Accepted
- TRC 177 – Change in Late Enrollment Penalty
- TRC 178 – Late Enrollment Penalty Rescinded
- TRC 179 – Transaction Accepted, No Change to Premium Record

**Note:** No payment or premium adjustments are expected as a result of this cleanup.

### **Incorrect Number of Uncovered Months with TRC 060**

CMS continues its efforts to address the underlying software issue where Plans incorrectly received TRC 060 – “Correction Rejected, Not Enrolled in Plan” for a Plan Change (type 72) transaction when attempting to correct the number of uncovered months for a beneficiary’s previous plan enrollment.

CMS will reprocess any remaining transactions that failed with TRC 060 since November 1, 2007 that have not been resubmitted successfully by the Plans. Plans can expect to receive one or more of the following:

- TRC 141 – Uncovered Months Change Accepted
- TRC 179 – Transaction Accepted, No Change to Premium Record
- TRC 216 – Uncovered Months Exceeds Max Possible Value

**Note:** Premium adjustments may occur depending on the requested effective date of the enrollment transaction. No Payment adjustments are expected as a result of this cleanup.

### **Failed Enrollment Transactions with TRC 165**

A systems issue has been identified which caused transactions to be incorrectly rejected with TRC 165 - System Delay. These transactions were rejected when the system attempted to update records in the Election Period repository but there was an absence of an application signature date in the PBP election period record.

In order to close the loop with Plans, CMS will identify and reprocess any remaining transactions that have not been successfully resubmitted. Plans should expect to receive one or more of the following Transaction Reply Codes (TRCs), but will not receive TRC 165:

- TRC 009 – No Beneficiary Match
- TRC 011 – Enrollment Accepted as Submitted
- TRC 016 – Enrollment Accepted, Out of Area
- TRC 038 – Enrollment Rejected, Duplicate Transaction
- TRC 039 – Enrollment Rejected, Currently Enrolled in Same Plan
- TRC 120 – Premium Withholding Option Change Accepted by CMS
- TRC 144 – Premium Withhold Option Change to Direct Bill
- TRC 181 – Invalid PTD Premium Submitted, Corrected
- TRC 182 – Invalid PTC Premium Submitted, Corrected

**Note:** Payment and premium adjustments may also occur as a result of this cleanup.

### **Invalid Disenrollment Reason Codes**

A systems issue has been identified where invalid disenrollment reason codes were being stored. This system issue resulted in failed updates via the Common UI when attempting to perform updates to beneficiary records.

In order to close the loop with the Plans, CMS will identify any invalid disenrollment reason codes and update them with valid values. Invalid disenrollment reason codes are identified by a missing preceding zero and therefore exist with values 1 through 8. These invalid disenrollment reason codes will be updated with valid values 01 through 08. All other invalid disenrollment reason codes will be updated with the default value of 99.

**Sunday, March 1, 2009**

At this time, **no cleanups are scheduled** for inclusion on the March 1<sup>st</sup> regular weekly TRR. The file will follow the standard naming convention:

**Gentran mailbox:** P.Rxxxxx.TRWEEKR.D090301.Thhmsst.pn

**Connect:Direct (Mainframe):** zzzzzzzz.Rxxxxx.TRWEEKR.D090301.Thhmsst

**Connect:Direct (Non-Mainframe):** [directory]Rxxxxx.TRWEEKR.D090301.Thhmsst

Please direct questions or concerns to the MMA Help Desk at [mmahelp@cms.hhs.gov](mailto:mmahelp@cms.hhs.gov) or 1-800-927-8069.