



CMS Center for Consumer Information & Insurance Oversight (CCIIO), State-based Marketplace Public Use Files (SBM PUF) Data Dictionary for Service Area PUF

1. Overview of the Service Area PUF

The Centers for Medicare & Medicaid Services (CMS) Center for Consumer Information and Insurance Oversight (CCIIO) is releasing the State-Based Marketplace (SBM) PUF in order to improve the transparency and increase access to the SBM data. The SBM PUF includes data on Qualified Health Plans (QHPs) and Stand-alone Dental Plans (SADPs) offered in states which operate their own Marketplaces that do not rely on the federal information technology platform for QHP eligibility and enrollment functionality. This includes states which operate their own Small Business Health Options Programs (SHOP).

The Service Area PUF (SA-PUF) is one of the six files that make up the SBM PUF. The SA-PUF contains issuer level data on the geographic coverage or service area (i.e., where the plan is offered) including state, county, and zip code. These data originate from the Service Area template (i.e., template field), an Excel-based form used by issuers to describe their plans in the QHP/SADP application process, and were provided by the National Association of Insurance Commissioners (NAIC) by extracting the information from their System for Electronic Rate and Form Filing (SERFF).

This data dictionary describes the variables contained in the SA-PUF file for each SBM. Each record relates to a set of rules for the application of rates for one plan. The SA-PUF is available for SBMs for plan year 2016.

2. Variable Attributes

<i>Variable Name:</i>	BusinessYear
<i>Variable Definition:</i>	Year for which plan provides coverage to enrollees
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Business Year
<i>Allowable Values:</i>	2016
<i>Data Source:</i>	System-generated field
<i>Comments:</i>	N/A

<i>Variable Name:</i>	StateCode
<i>Variable Definition:</i>	Two-character state abbreviation indicating the state where the plan is offered
<i>Data Type:</i>	Text
<i>Variable Label:</i>	State Code
<i>Allowable Values:</i>	All state abbreviations for those states that operate SBMs



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<i>Variable Label:</i>	State Code
<i>Data Source:</i>	System-generated field
<i>Comments:</i>	N/A
<i>Variable Name:</i>	IssuerID
<i>Variable Definition:</i>	Five-digit numeric code that identifies the issuer organization in the Health Insurance Oversight System (HIOS)
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Issuer ID
<i>Allowable Values:</i>	Free text
<i>Data Source:</i>	Template field
<i>Comments:</i>	N/A
<i>Variable Name:</i>	SourceName
<i>Variable Definition:</i>	Categorical identifier of source of the data import
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Source Name
<i>Allowable Values:</i>	SERFF
<i>Data Source:</i>	System-generated field
<i>Comments:</i>	SERFF is the only source used data in the SBM PUF
<i>Variable Name:</i>	VersionNum
<i>Variable Definition:</i>	Integer Value for version of data import
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Version Number
<i>Allowable Values:</i>	Free text
<i>Data Source:</i>	System-generated field
<i>Comments:</i>	N/A
<i>Variable name:</i>	ImportDate
<i>Variable Definition:</i>	Date of the Data Import
<i>Data Type:</i>	Date/Time
<i>Variable Label:</i>	Import Date
<i>Allowable Values:</i>	Free text
<i>Data Source:</i>	System-generated field
<i>Comments:</i>	N/A
<i>Variable Name:</i>	ServiceAreaID
<i>Variable Definition:</i>	Identifier for a service area
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Service Area ID



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<i>Allowable Values:</i>	Service Area IDs shown are generated based on number of service areas entered and issuer's state
<i>Data Source:</i>	Template field
<i>Comments:</i>	N/A
<i>Variable name:</i>	ServiceAreaName
<i>Variable Definition</i>	Name of a service area
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Service Area Name
<i>Allowable Values:</i>	Free text
<i>Data Source:</i>	Template field
<i>Comments:</i>	N/A
<i>Variable Name:</i>	CoverEntireState
<i>Variable Definition:</i>	Categorical indicator of whether entire state is covered by the service area
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Cover Entire State
<i>Allowable Values:</i>	Yes No
<i>Data Source:</i>	Template field
<i>Comments:</i>	N/A
<i>Variable Name:</i>	County
<i>Variable Definition:</i>	Federal Information Processing Standards (FIPS) code assigned to the county within a state that is covered by the service area
<i>Data Type:</i>	Text
<i>Variable Label:</i>	County
<i>Allowable Values:</i>	FIPS codes shown are for counties within state selected in template
<i>Data Source:</i>	Template Field
<i>Comments:</i>	This field is only applicable if CoverEntireState field equals No
<i>Variable Name:</i>	PartialCounty
<i>Variable Definition:</i>	Categorical indicator of whether entire county is covered by the service area
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Partial County
<i>Allowable Values:</i>	Yes No
<i>Data Source:</i>	Template Field



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<i>Comments:</i>	This field is only applicable if CoverEntireState field is No
<i>Variable Name:</i> <i>Variable Definition:</i> <i>Data Type:</i> <i>Variable Label:</i> <i>Allowable Values:</i> <i>Data Source:</i> <i>Comments:</i>	ZipCodes Zip codes within the partial-county region covered by the service area Text Zip Codes List of zip codes separated by commas Template Field This field is only applicable if PartialCounty field equals Yes; field could be truncated to 256 characters if exported to Excel or Access
<i>Variable Name:</i> <i>Variable Definition:</i> <i>Data Type:</i> <i>Variable Label:</i> <i>Allowable Values:</i> <i>Data Source:</i> <i>Comments:</i>	PartialCountyJustification The justification given for creating a partial-county region for inclusion in a service area Text Partial County Justification Free Text Template Field This field is only applicable if PartialCounty field equals Yes; field may be truncated to 256 characters if exported to Excel or Access
<i>Variable Name:</i> <i>Variable Definition:</i> <i>Data Type:</i> <i>Variable Label:</i> <i>Allowable Values:</i> <i>Data Source:</i> <i>Comments:</i>	MarketCoverage Categorical indicator of market coverage of plans submitted with this template Text Market Coverage Individual SHOP (Small Group) Template Field N/A
<i>Variable Name:</i> <i>Variable Definition:</i> <i>Data Type:</i> <i>Variable Limit:</i> <i>Allowable Values:</i> <i>Data Source:</i> <i>Comments:</i>	DentalPlanOnly Categorical indicator that plan is a stand-alone dental plan Text Dental-Only Plan Indicator Yes No Template field N/A