

DEPARTMENT OF HEALTH & HUMAN
SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850



CENTER FOR MEDICARE

DATE: April 08, 2024
TO: All MA-PD plans, 1876 Cost Plans, PACE organizations, and PDPs
FROM: Jennifer R. Shapiro, Director, Medicare Plan Payment Group
SUBJECT: Incoming File From CMS: Beneficiary-level File to Support 2025 Part D Bids

CMS has pushed out to all Medicare Advantage-Prescription Drug (MA-PD) plans, 1876 Cost Plans, PACE organizations, and standalone prescription drug plans (PDPs) a beneficiary-level file that your actuaries will be using to develop 2025 Part D bids. CMS pushes this data out to contracts that offer one or more Part D plans. This memo is to inform you that there may be potential action that is needed for you to accept this new file. Please also note that we posted contract-PBP level risk scores for the payment year model on HPMS.

The file name will be constructed as follows:

GENTRAN: P.Rxxxxx.PTD2025.Dyymmdd.Thhmsst.pn
C:D mainframe: zzzzzzzz.Rxxxxx.PTD2025.Dyymmdd.Thhmsst
C:D non-mainframe: [directory]P.Rxxxxx.PTD2025.Dyymmdd.Thhmsst

KEY

xxxxx = 5 character plan contract #
yymmdd = two digit year, month, day
hhmsst = hour/minute/second/tenths of second
pn = process number
zzzzzzzz = Plan-provided high level qualifier, default is EFTO for production; EFTT for test
[directory] = optional directory specified from non-mainframe C:D clients, default is EFTO. for production; EFTT. for test

If you have any questions regarding the retrieval of this file, please contact the MAPD Help Desk via email at MAPDHELP@cms.hhs.gov or via phone at 1-800-927-8069. If you have questions about the content of the file, please email the CMS Risk Adjustment Policy mailbox at RiskAdjustmentPolicy@cms.hhs.gov and specify "Payment Year 2025 Risk Scores for Bidding" in the subject line.

Below is the file layout with technical notes.

Technical Notes –

Please note the following:

1. The file includes beneficiaries who were in your contract for at least one month in 2023.
2. The estimated payment year (PY) 2023 Part D risk scores were calculated using the RxHCC risk adjustment model that was used for 2023 payment (the 2023 RxHCC model for non-PACE organizations and the 2020 RxHCC model for PACE organizations) and the risk adjustment models that will be used for 2025 payment (the 2025 RxHCC models).

We are providing the following sets of estimated PY 2023 risk scores (2022 dates of service):

For non-PACE organizations

- 2023 RxHCC risk adjustment model: Risk scores calculated using CPT/HCPCS filtered diagnoses from the Encounter Data System (EDS) and Fee-For-Service (FFS) claims.
- 2025 RxHCC risk adjustment model (2021/2022 calibration): Risk scores calculated using CPT/HCPCS filtered diagnoses from the EDS and FFS claims.

Part D risk score file layouts for non-PACE organizations are provided at the end of this memo.

For PACE organizations

- 2020 RxHCC risk adjustment model: Risk scores were calculated by pooling risk adjustment-eligible diagnoses from RAPS, EDS, and specialty filtered FFS claims.
- 2025 RxHCC risk adjustment model (2018/2019 calibration): Risk scores were calculated by pooling risk adjustment-eligible diagnoses from RAPS, CPT/HCPCS filtered diagnoses from EDS, and specialty filtered diagnoses from FFS claims.

A separate Part D risk score file layout for PACE organizations is included below the Part D layouts for non-PACE organizations.

3. The relative factors for the 2020 RxHCC model are available in the *Announcement of Calendar Year (CY) 2020 Medicare Advantage Capitation Rates and Medicare Advantage and Part D Payment Policies and Final Call Letter (CY 2020 Rate Announcement)*, published on April 1, 2019. The relative factors for the 2023 RxHCC model are available in the *Announcement of Calendar Year (CY) 2023 Medicare Advantage (MA) Capitation Rates and Part C and Part D Payment Policies (CY 2023 Rate Announcement)*, published on April 4, 2022. The relative factors for the 2025 RxHCC models are available in the *Announcement of Calendar Year (CY) 2025 Medicare Advantage (MA) Capitation Rates and Part C and Part D Payment Policies*

(CY 2023 Rate Announcement), published on April 1, 2024. Rate Announcements can be found [here](#).

4. The estimated risk scores provided in this file are not normalized.
 - The 2020 RxHCC model has a 2015 denominator, the 2023 RxHCC model has a 2019 denominator, the 2025 RxHCC model (2018/2019 calibration) used for PACE organizations has a 2020 denominator, and the 2025 RxHCC model (2021/2022 calibration) used for non-PACE organizations has a 2022 denominator. Your projected 2025 risk scores will need to consider the appropriate normalization factor, per the *2025 Bid Pricing Tool Instructions*. For non-PACE organizations, the normalization factor for MA-PD plans is 1.073 and the normalization factor for PDPs is 0.955. For PACE organizations, the normalization factor is 1.163.
5. The PY 2023 risk scores provided are estimated final risk scores.
 - The diagnosis data used for the risk score estimates are from calendar year 2022 dates of service (i.e., the data collection period) based on: 1) plan diagnosis data submitted to EDS (and RAPS for PACE organizations) through January 31, 2024, and 2) Medicare FFS diagnosis data through January 31, 2024.
 - The estimated final PY 2023 risk scores account for retroactive adjustments for (1) enrollment and disenrollment through January 2024, (2) long term institutional (LTI) status through February 9, 2024, and (3) changes in low income status that have occurred since the prospective 2023 payments were made.
6. In addition, the payment final reconciliation risk scores will reflect any additional status changes that occur before the final risk score run (e.g., low income, long term institutional, disability, ESRD).

File layout & data dictionary – Part D risk scores

Field #	Field Name	Len	Pos	Description	Explanation
1	MBI	11	1-11	Beneficiary ID	A beneficiary is on the file as long as they were in the Part D contract for at least one month in 2023.
2-13	Contract ID	5 X 12	12-71	Contract ID. Array of 12 monthly fields.	Contract ID is populated for those months in the payment year for which the beneficiary was enrolled in the contract. For each month that this field is populated, the other applicable monthly fields are appropriately populated for the beneficiary. If this field is blank, then the beneficiary was not enrolled in the contract for the month in question.
14-25	Plan ID	3 X 12	72-107	Plan ID. Array of 12 monthly fields.	PBP in which the beneficiary is enrolled for the month.
26-37	Segment ID	3 X 12	108-143	Segment ID. Array of 12 monthly fields.	Segment in which the beneficiary is enrolled for the month. <i>MA-PDs only.</i>
38	Part D new enrollee non-LI/non-ESRD risk score, 2023 RxHCC (V08) model	7.4	144-150	Beneficiary's 2023 Part D new enrollee non-LI/non-ESRD risk score, 2023 RxHCC (V08) model.	
39	Part D new enrollee LI/non-ESRD risk score, 2023 RxHCC (V08) model	7.4	151-157	Beneficiary's 2023 Part D new enrollee LI/non-ESRD risk score, 2023 RxHCC (V08) model.	
40	Part D new enrollee LTI/non-ESRD risk score, 2023 RxHCC (V08) model	7.4	158-164	Beneficiary's 2023 Part D new enrollee LTI/non-ESRD risk score, 2023 RxHCC (V08) model.	
41	Part D new enrollee non-LI/ESRD risk score, 2023 RxHCC (V08) model	7.4	165-171	Beneficiary's 2023 Part D new enrollee non-LI/ESRD risk score, 2023 RxHCC (V08) model.	
42	Part D new enrollee LI/ESRD risk score, 2023 RxHCC (V08) model	7.4	172-178	Beneficiary's 2023 Part D new enrollee LI/ESRD risk score, 2023 RxHCC (V08) model.	

Field #	Field Name	Len	Pos	Description	Explanation
43	Part D new enrollee LTI/ESRD risk score, 2023 RxHCC (V08) model	7.4	179-185	Beneficiary's 2023 Part D new enrollee LTI/ESRD risk score, 2023 RxHCC (V08) model.	
44	Part D LTI full risk score, 2023 RxHCC (V08) model, ED/HCPCS filtered FFS	7.4	186-192	Beneficiary's 2023 Part D full risk LTI risk score, 2023 RxHCC (V08) model, ED/HCPCS filtered FFS based.	Model run with Encounter data, HCPCS filtered FFS data.
45	Part D LI full risk score, 2023 RxHCC (V08) model, ED/HCPCS filtered FFS	7.4	193-199	Beneficiary's 2023 Part D full risk LI risk score, 2023 RxHCC (V08) model, ED/HCPCS filtered FFS based.	Model run with Encounter data, HCPCS filtered FFS data.
46	Part D non-LI full risk score, 2023 RxHCC (V08) model, ED/HCPCS filtered FFS	7.4	200-206	Beneficiary's 2023 Part D full risk non-LI risk score, 2023 RxHCC (V08) model, ED/HCPCS filtered FFS based.	Model run with Encounter data, HCPCS filtered FFS data.
47	Part D new enrollee non-LI/non-ESRD risk score, 2025 RxHCC (V08) model	7.4	207-213	Beneficiary's 2023 Part D new enrollee non-LI/non-ESRD risk score, 2025 RxHCC (V08) model.	
48	Part D new enrollee LI/non-ESRD risk score, 2025 RxHCC (V08) model	7.4	214-220	Beneficiary's 2023 Part D new enrollee LI/non-ESRD risk score, 2025 RxHCC (V08) model.	
49	Part D new enrollee LTI/non-ESRD risk score, 2025 RxHCC (V08) model	7.4	221-227	Beneficiary's 2023 Part D new enrollee LTI/non-ESRD risk score, 2025 RxHCC (V08) model.	
50	Part D new enrollee non-LI/ESRD risk score, 2025 RxHCC (V08) model	7.4	228-234	Beneficiary's 2023 Part D new enrollee non-LI/ESRD risk score, 2025 RxHCC (V08) model.	
51	Part D new enrollee LI/ESRD risk score, 2025	7.4	235-241	Beneficiary's 2023 Part D new enrollee LI/ESRD risk score, 2025 RxHCC (V08) model.	

Field #	Field Name	Len	Pos	Description	Explanation
	RxHCC (V08) model				
52	Part D new enrollee LTI/ESRD risk score, 2025 RxHCC (V08) model	7.4	242-248	Beneficiary's 2023 Part D new enrollee LTI/ESRD risk score, 2025 RxHCC (V08) model.	
53	Part D LTI full risk score, 2025 RxHCC (V08) model, ED/HCPCS filtered FFS	7.4	249-255	Beneficiary's 2023 Part D full risk LTI risk score, 2025 RxHCC (V08) model, ED/HCPCS filtered FFS based.	Model run with Encounter data, HCPCS filtered FFS data.
54	Part D LI full risk score, 2025 RxHCC (V08) model, ED/HCPCS filtered FFS	7.4	256-262	Beneficiary's 2023 Part D full risk LI risk score, 2025 RxHCC (V08) model, ED/HCPCS filtered FFS based.	Model run with Encounter data, HCPCS filtered FFS data.
55	Part D non-LI full risk score, 2025 RxHCC (V08) model, ED/HCPCS filtered FFS	7.4	263-269	Beneficiary's 2023 Part D full risk non-LI risk score, 2025 RxHCC (V08) model, ED/HCPCS filtered FFS based.	Model run with Encounter data, HCPCS filtered FFS data.
56-67	Part D – Beneficiary status	1 X 12	270-281	<p>Array of 12 monthly flags.</p> <p>Part D Beneficiary status codes are as follows:</p> <p>1 = New Enrollee Institutional 2 = New Enrollee Low Income 3 = New Enrollee Non-Low Income 4 = Continuous Enrollment Institutional 5 = Continuous Enrollment Low Income Aged 6 = Continuous Enrollment Non-Low Income Aged 7 = Continuous Enrollment Low Income Disabled 8 = Continuous Enrollment Non-Low Income Disabled</p>	There is an ESRD add-on in the new enrollee risk scores in the risk adjustment model. These flags are for MA-PDs and PDPs to use in assessing the new enrollee risk score.
68-79	Part D – ESRD status	1 X 12	282-293	<p>Array of 12 monthly flags.</p> <p>Part D ESRD status codes are as follows:</p> <p>1 = Not ESRD 2 = ESRD</p>	

Field #	Field Name	Len	Pos	Description	Explanation
80	Aged Status	1	294	Beneficiary's Aged Status 1=Aged 0=Disabled	Age calculated as of February 1, 2023.

File layout & data dictionary – Part D risk scores (PACE organizations)

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